

TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371		ATTORNEY'S DOCKET NUMBER 59046.000039 U.S. APPLICATION NO. (if known, see 37 CFR 1.5) 10/531805
INTERNATIONAL APPLICATION NO. PCT/US03/33178	INTERNATIONAL FILING DATE October 17, 2003	PRIORITY DATE CLAIMED October 17, 2002
TITLE OF INVENTION TRANSMUCOSAL ADMINISTRATION OF AGGREGATED ANTIGENS		
APPLICANT(S) FOR DO/EO/US Enzo Therapeutics, Inc.; Frank Michaels		
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:		
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. 4. <input checked="" type="checkbox"/> The US has been elected (Article 31). 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> is attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> has been communicated by the International Bureau. c. <input checked="" type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US). 6. <input type="checkbox"/> An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). a. <input type="checkbox"/> is attached hereto. b. <input type="checkbox"/> has been previously submitted under 35 U.S.C. 154(d)(4). 7. <input type="checkbox"/> Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) a. <input type="checkbox"/> are attached hereto (required only if not communicated by the International Bureau). b. <input type="checkbox"/> have been communicated by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input type="checkbox"/> have not been made and will not be made. 8. <input type="checkbox"/> An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).		
Items 11 to 20 below concern document(s) or information included:		
11. <input type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An Assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. <input checked="" type="checkbox"/> A Preliminary Amendment. 14. <input type="checkbox"/> An Application Data Sheet under 37 CFR 1.76. 15. <input type="checkbox"/> A substitute specification. 16. <input type="checkbox"/> A power of attorney and/or change of address letter. 17. <input type="checkbox"/> A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821-1.825. 18. <input type="checkbox"/> A second copy of the published International Application under 35 U.S.C. 154(d)(4). 19. <input type="checkbox"/> A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4). 20. <input checked="" type="checkbox"/> Other items or information: Unexecuted Declaration and Appointment of Power of Attorney Copy of the International Application as filed		

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 1.7) 10/531805 <small>To Be Assigned</small>		INTERNATIONAL APPLICATION NO. PCT/US03/33178		ATTORNEY'S DOCKET NUMBER 59046.000039	
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21. <input checked="" type="checkbox"/> The following fees are submitted:				CALCULATIONS PTO USE ONLY	
<input checked="" type="checkbox"/>	a) Basic National Fee	\$ 300.00	\$	300.00	
<input checked="" type="checkbox"/>	b) Examination Fee	\$ 100.00	\$	100.00	
<input checked="" type="checkbox"/>	c) Search Fee	\$ 50.00	\$	50.00	
TOTAL OF ABOVE CALCULATIONS			\$	450.00	
<input type="checkbox"/> Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction					
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof (round up to a whole number)	RATE		
- 100	/50		x \$250.00	\$	
Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$	
CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	\$	
Total claims	=		x \$ 25.00	\$	
Independent claims	=		x \$200.00	\$	
MULTIPLE DEPENDENT CLAIM(S) (if applicable)			+ \$360.00	\$	
TOTAL OF ABOVE CALCULATIONS =				\$	450.00
<input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2.				\$	
SUBTOTAL =				\$	450.00
Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$	450.00
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
TOTAL FEES ENCLOSED =				\$	450.00
				Amount to be refunded:	\$
				Amount to be charged:	\$450.00

a. ☐ A check in the amount of \$ _____ to cover the above fees is enclosed.

b. ☒ Please charge Deposit Account No. 50-0206 in the amount of \$450.00 to cover the above fees.
A duplicate copy of this sheet is enclosed.

c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 50-0206 A duplicate copy of this sheet is enclosed.

d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

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Robert M. Schulman and Alexander H. Spiegler

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31,196 and 56,625

REGISTRATION NUMBER

April 18, 2005

DATE



10/531805

INTELLECTUAL PROPERTY DEPARTMENT

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File No: 59046.000039

April 18, 2005

In re application of:

Frank MICHAELS

Serial Number: Not Yet Assigned

Filed: April 18, 2005

Group Art Unit: Not Yet Assigned

Examiner: Not Yet Assigned

Confirmation No: Not Yet Assigned

For: TRANSMUCOSAL ADMINISTRATION OF AGGREGATED ANTIGENS

MAIL STOP PCT

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Transmitted herewith in the above-identified application are:

*Transmittal Letter to the United States Designated/Elected Office

(DO/EO/US) Concerning a Submission Under 35 U.S.C. 371

*Preliminary Amendment Under 37 C.F.R. § 1.115

*Declaration and Power of Attorney for Patent Application (Unexecuted)

*Copy of the International Application as filed - Specification (60 pages),
Claims (8 pages, numbered 1-59), Abstract (1 page), Sequence Listing (3
pages), Drawings (9 pages, Figs 1-8)*Deposit Account No. 50-0206 in the amount of \$450.00 for National
Phase filing fee

Fees have been calculated as shown below:

- ☐ No additional fee is required.
☐ A check in the amount of \$_____ is attached.
☒ Charge \$ **450.00** to Deposit Account No. 50-0206.
☒ Charge any additional fees or credit any overpayment to Deposit Account No. 50-0206.

TOTAL FEE DUE**\$450.00**

Respectfully submitted,

By:

Alexander H. Spiegler
Registration No. 56,625

RMS/AHS:sac

10/531805

The PTO did not receive the following
listed item(s). *NO POST CARD*